



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

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E. Harrington

Application No.: 10/005,609

Filed: November 7, 2001

Title: Global Electronic Trading System

Confirmation No.: 9713

Group Art Unit: 1762

Examiner: Alain L. Bashore

Attorney Docket No.: 44422593-6028

**INFORMATION DISCLOSURE
STATEMENT**

SONNENSCHN NATH & ROSENTHAL LLP
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M/S AMENDMENT
Commissioner for Patents
P.O. Box 1450
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July 21, 2006

Edward J. Radlo, Reg. No. 26,793

Sir:

Pursuant to the provisions of 37 CFR § 1.56 and §1.97-§1.98, Applicants hereby submit
patents, publications or other information enclosed herewith and listed on the enclosed Form
PTO-1449 (modified) of which they are aware, which they believe may be material to the
examination of this application and in respect of which there may be a duty to disclose. This
Information Disclosure Statement is filed after the first Office Action but, as far as is known to
the undersigned, prior to the mailing date of either a final rejection or a notice of allowance,
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A list of the patents and publications is set forth on the attached Form PTO-1449
(Modified). A copy of the items on PTO-1449 (Modified) is supplied herewith, except for issued
United States Patents and published United States Patent Applications. A concise explanation of
relevance of the items listed on form PTO-1449 (Modified) is given only for the non-English
language references.

The Examiner is reminded that a "concise explanation of the relevance" of the submitted items "may be nothing more than identification of the particular figure or paragraph of the patent or publication which has some relation to the claimed invention." MPEP § 609.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, submission of this IDS is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.


You are authorized to charge the fee of \$180.00 set forth in 37 C.F.R. §1.17(p) to the credit card authorization enclosed herewith. The Commissioner is hereby authorized to credit overpayments or to charge any deficiency in a required fee to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed. **A duplicate copy of this Notice is enclosed for this purpose.**

Respectfully submitted,

date of signature

July 21, 2006

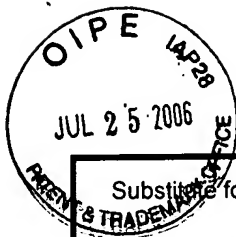
By:



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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 1 of 1		Complete if Known	
		Application Number	10/005,609
		Filing Date	November 7, 2001
		First Named Inventor	Arman Glodjo
		Art Unit	1762
		Examiner Name	Alain L. Bashore
		Attorney Docket Number	44422593-6028

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-5,136,501	8-4-1992	Silverman et al.	

OTHER ITEMS – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Hall et al., "The Future of Clearing and Settlement in Australia: A Discussion Paper", downloaded from www.rba.gov.au/PaymentsSystem/Publications/PaymentsInAustralia/future_cs.pdf , March 2000, Sydney, Australia	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.